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Market manipulator fined and sentenced to community service

7 Aug 2014

The Eastern Magistrates' Court today sentenced Mr Wong Pok Wang to 180 hours of community service and fined him \$16,320 for manipulating the indicative equilibrium price of derivative warrants and callable bull/bear contracts (CBBCs) during the Pre-opening Sessions.

Wong was found guilty on 22 July 2014 of 13 counts of false trading in eight derivative warrants and CBBCs between 15 October 2010 and 14 February 2011 following an investigation by the Securities and Futures Commission (SFC) (Note 1).

The fine imposed by the Magistrate is equivalent to the profit made by Wong from selling the derivative warrants and CBBCs at prices artificially pushed higher by his manipulative orders.

End

Note:

1. Please see the SFC's press release dated [22 July 2014](#).

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市場操縱者被罰款及判處社會服務令

2014年8月7日

黃博宏（男）因在開市前時段操縱衍生權證及牛熊證的參考平衡價格，今天被東區裁判法院判處**180**小時社會服務令及罰款**16,320**元。

經證券及期貨事務監察委員會（證監會）進行調查後，黃於**2014**年**7**月**22**日被裁定在**2010**年**10**月**15**日至**2011**年**2**月**14**日期間就八隻衍生權證及牛熊證進行虛假交易的**13**項罪名成立（註1）。

法院判處的罰款相當於黃透過操縱性買賣盤人為推高價格後，藉沽出有關的衍生權證及牛熊證所賺取的利潤。

完

備註：

1. 請參閱證監會**2014**年**7**月**22**日的新聞稿。

最後更新日期：2014年8月7日